



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

ANURADHA NARASIMHASWAMY ET AL.

Group Art Unit: 2136

Examiner: Carl G. Colin

Serial No.: 09/558,192

Filed: April 26, 2000

For: ON-LINE INVENTION DISCLOSURE APPROVAL SYSTEM

Attorney Docket No.: FMC 2086 PUS / 81074067

COMMUNICATION

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants' Attorney would like to make Examiner aware of Application Serial Nos. 10/441,941, 10/441,924, and 09/621,393 summarized in the table below. Application Serial Nos. 10/441,941, 10/441,924, 09/621,393, 09/552,131, and 09/558,192 share a common inventor. Application Serial Nos. 09/552,131, 09/558,192, and 09/563,140 share a common inventor. The IDS submitted herewith includes references from at least one of these pending applications.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 29, 2007
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Benjamin C. Stasa
Name of Person Signing


Signature

APPL. NO.	TITLE	STATUS OF INDEPENDENT CLAIMS
09/621,393	Theme-Based System and Method for Classifying Documents	Notice of Allowance and Fee(s) Due mailed September 22, 2006. Request for Continued Examination filed on December 22, 2006.
10/441,924	Method and System for Automated Messaging in an Online Legal Workflow Tool	Claims 1 and 16 stand rejected under 35 U.S.C. § 102(e) as being anticipated by U.S. Pat. No. 6,944,659 (McDonald).
10/441,941	Method and System for Role-Based Access Control to a Collaborative Online Legal Workflow Tool	Claims 1 and 14 stand rejected under 35 U.S.C. § 102(e) as being anticipated by U.S. Pat. Pub. No. 2002/0161733 (Grainger).

Respectfully submitted,

ANURADHA NARASIMHASWAMY ET AL.

By 
Benjamin C. Stasa
Reg. No. 55,644
Attorney for Applicants

Date: January 29, 2007

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**INFORMATION DISCLOSURE STATEMENT
AND FEE UNDER 37 C.F.R. § 1.97(c)**

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A PTO/SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance, and includes the fee of \$180.00 as set forth under 37 C.F.R. § 1.17(p).

02/02/2007 AWONDAF1 00000057 023978 09558192

01 FC:1806 180.00 DA

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Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Please charge to our Deposit Account No. 02-3978 the amount of \$180.00. Please charge any additional fees or credit any overpayments as a result of the filing of this paper to our Deposit Account No. 02-3978.

Respectfully submitted,

ANURADHA NARASIMHASWAMY ET AL.

By: 

Benjamin C. Stasa
Reg. No. 55,644
Attorney for Applicants

Date: January 29, 2007

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application Number	09/558,192	
				Filing Date	April 26, 2000	
				First Named Inventor	Narasimhaswamy et al.	
				Group Art Unit	2136	
				Examiner Name	Carl G. Colin	
Sheet	1	of	6	Attorney Docket Number		FMC 2086 PUS

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,977,520		McGaughey, III, et al.	12-11-1990	
		5,031,214		Dziewit, et al.	07-09-1991	
		5,339,356		Ishii	08-16-1994	
		5,345,502		Rothenhöfer	09-06-1994	
		5,404,518		Gilbertson, et al.	04-04-1995	
		5,418,908		Keller, et al.	05-23-1995	
		5,446,880		Balgeman, et al.	08-29-1995	
		5,608,904		Chaudhuri, et al.	03-04-1997	
		5,628,004		Gormley, et al.	05-06-1997	
		5,675,780		Plant-Mason, et al.	10-07-1997	
		5,721,832		Westrope, et al.	02-24-1998	
		5,758,126		Daniels, et al.	05-26-1998	
		5,764,898		Tsuji, et al.	06-09-1998	
		5,781,901		Kuzma	07-14-1998	
		5,794,236		Mehrle	08-11-1998	
		5,797,001		Augenbraun, et al.	08-18-1998	
		5,806,057		Gormley, et al.	09-08-1998	
		5,809,232		Johnson, et al.	09-15-1998	
		5,809,242		Shaw, et al.	09-15-1998	
		5,812,795		Horovitz, et al.	09-22-1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		EP	0227485		Iwai	01-07-1987		
		WO	00/11575		Aurigin Systems	03-02-2000		
		WO	00/54139		Proctor & Gamble	09-14-2000		
		WO	00/75851 A1		StockPricePredictor.com	12-14-2000		
		WO	01/01234 A2		Image Applns. Ltd.	01-04-2001		
		WO	01/08031 A2		Eastman Chemical Co.	02-01-2001		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

6

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		Number	Kind Code ² (if known)			
		5,819,301		Rowe, et al.	10-06-1998	
		5,832,211		Blakley, III et al.	11-03-1998	
		5,832,220		Johnson, et al.	11-03-1998	
		5,845,067		Porter, et al.	12-01-1998	
		5,850,219		Kumomura	12-15-1998	
		5,852,809		Abel, et al.	12-22-1998	
		5,857,181		Augenbraun et al.	01-05-1999	
		5,860,074		Rowe, et al.	01-12-1999	
		5,862,323		Blakley III et al.	01-19-1999	
		5,870,717		Wiecha	02-09-1999	
		5,991,751		Rivette, et al.	11-23-1999	
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		6,014,502		Moraes	01-11-2000	
		6,014,668		Tabata, et al.	01-11-2000	
		6,016,318		Tomoike	01-18-2000	
		6,029,067		Pfundstein	02-22-2000	
		6,032,118		Tello, et al.	02-29-2000	
		6,047,315		Tsuji, et al.	04-04-2000	
		6,047,325		Jain, et al.	04-04-2000	
		6,049,801		Whitmyer, Jr.	04-11-2000	

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		WO	2001/008031 A2		Eastman Chem. Co.	02-01-2001		
		GB	2368673		Ford Global Tech.	05-08-2002		

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Sheet	4	of	6
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Application Number	09/558,192
Filing Date	April 26, 2000
First Named Inventor	Narasimhaswamy et al.
Group Art Unit	2136
Examiner Name	Carl G. Colin
Attorney Docket Number	FMC 2086 PUS

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		6,072,795		Poulter	06-06-2000	
		6,073,108		Peterson	06-06-2000	
		6,073,148		Rowe, et al.	06-06-2000	
		6,078,586		Dugan, et al.	06-20-2000	
		6,079,020		Liu	06-20-2000	
		6,081,900		Subramaniam et al	06-27-2000	
		6,092,113		Maeshima, et al.	07-18-2000	
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		6,101,543		Alden, et al.	08-08-2000	
		6,105,027		Schneider, et al.	08-15-2000	
		6,115,709		Gilmour, et al.	09-05-2000	
		6,119,108		Holmes, et al.	09-12-2000	
		6,125,391		Meltzer, et al.	09-26-2000	
		6,137,864		Yaker	10-24-2000	
		6,138,162		Pistriotto, et al	10-24-2000	
		6,141,409		Madoch, et al.	10-31-2000	
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		6,154,738		Call	11-28-2000	
		6,154,783		Gilmour, et al.	11-28-2000	
		6,157,947		Watanabe, et al.	12-05-2000	

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		Number	Kind Code ² (if known)			
		6,158,011		Chen, et al.	12-05-2000	
		6,167,448		Hemphill, et al.	12-26-2000	
		6,173,311		Hassett, et al.	01-09-2001	
		6,173,399		Gilbrech	01-09-2001	
		6,175,622		Chiniwala, et al.	01-16-2001	
		6,178,505		Schneider, et al.	01-23-2001	
		6,181,789		Siegmund, et al.	01-30-2001	
		6,182,029		Friedman	01-30-2001	
		6,182,118		Finney, et al.	01-30-2001	
		6,185,689		Todd, Sr. et al.	02-06-2001	
		6,189,009		Stratigos, et al.	02-13-2001	
		6,192,407		Smith, et al.	02-20-2001	
		6,339,767		Rivette, et al.	01-15-2002	
		6,341,287		Sziklai, et al.	01-22-2002	
		6,442,549		Schneider	08-27-2002	
		6,556,992		Barney, et al.	04-29-2003	
		6,591,261		Arthurs	07-08-2003	
		6,665,656		Carter	12-16-2003	

[illegible]Date
Considered

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				Examiner Name	Carl G. Colin
Sheet	1	of	1	Attorney Docket Number	FMC 2086 PUS

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Online User Manual/World Patents Index on Questel/User Manual No. 3/Edition 1/July 1993/Derwent (will forward when obtained)	
		espacenet; info; features; esp@cenet - a new era in European patent information: http://www.european-patent-office.org/ espacenet/info/features.htm (will forward when obtained)	
		"Business Link", PB Systems, Inc. California "WebDAV" University of California (will forward when obtained)	
		"WEBDAV: IETF Standard for Collaborative Authoring on the Web" Whitehead, E.J. & Wiggins, M. IEEE Internet Computing, September-October 1998 (will forward when obtained)	

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